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EL764086975

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Priority Application Serial No. ....09/553,965  
Priority Filing Date .....4/21/2000  
Inventor..... Dent et al.  
Applicant ..... Microsoft Corporation  
Priority Group Art Unit.....  
Priority Examiner .....  
Attorney's Docket No. ....MS1-423USC1  
Title: A System and Method for Facilitating Personal Electronic Financial  
Transactions

REQUEST FOR CONTINUATION APPLICATION

UNDER 37 C.F.R. 1.53(b)

To: Commissioner of Patents and Trademarks,  
Washington, D.C. 20231

From: Michael A. Proksch (Tel. 509-324-9256; Fax 509-323-8979)  
Lee & Hayes, PLLC  
421 W. Riverside Avenue, Suite 500  
Spokane, WA 99201

This is a request for filing a Continuation application under 37 CFR §1.53(b) of Application Serial No.09/553,965 which was filed 4/21/2000 , and is entitled "A System and Method for Facilitating Personal Electronic Financial Transactions."

The prior application was submitted in the names of Dent et al..

The prior application was a complete application as set forth in 37 CFR §1.51(a). The application papers being filed herewith are a true copy of the prior application as filed including the specification (with claims) and drawings.

No abandonment or termination of proceeding has occurred in the above-identified prior application.

On 01/02/01 did not receive the continued  
application

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$1390<sup>00</sup>)

## Complete if Known

Application Number 09/553,965  
 Filing Date 4/21/2000  
 First Named Inventor Dent  
 Examiner Name  
 Group Art Unit  
 Attorney Docket No. MSI-423US

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number  
 Deposit Account Name

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES

Total Claims  -20\*\* =  X  =   
 Independent Claims  -3\*\* =  X  =   
 Multiple Dependent  =

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater, For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	1390
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$1390<sup>00</sup>)

## SUBMITTED BY

Name (Print/Type) Steven R. Sponseller Registration No. (Attorney/Agent) 39,384 Telephone (509) 324-9256  
 Signature [Signature] Date 12-29-2000

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.


1 The filing date of pending application Serial No. 09/553,965 is hereby  
2 claimed under 35 U.S.C. §120.

3 A previous power of attorney in the prior application is given to Lewis C.  
4 Lee, Reg. No. 34,656; Daniel L. Hayes, Reg. No. 34,618; Allan T. Sponseller, Reg.  
5 38,318; Steven R. Sponseller, Reg. No. 39,384; James R. Banowsky, Reg. No.  
6 37,773; Lance R. Sadler, Reg. No. 38,605; Michael A. Proksch, Reg. No. 43,021;  
7 Thomas A. Jolly, Reg. No. 39,241; Kasey C. Christie, Reg. No. 40,559; Nathan R.  
8 Rieth, Reg. No. 44,302; Brian G. Hart, Reg. No. 44,421 and David A. Morasch, Reg.  
9 No. 42,905.

10 Please send correspondence to: LEE & HAYES, PLLC, 421 W. Riverside  
11 Avenue, Suite 500, Spokane, Washington, 99201. Direct telephone calls to: Michael  
12 A. Proksch (509) 324-9256.

13  
14 Respectfully Submitted,

15  
16 Dated: 12-29-2000

17 By:  39,384  
18 Michael A. Proksch  
19 Reg. No. 43,021  
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**CONTINUATION PATENT APPLICATION TRANSMITTAL**

To: Commissioner of Patents and Trademarks,  
Washington, D.C. 20231

From: Michael A. Proksch (Tel. 509-324-9256; Fax 509-323-8979)  
Lee & Hayes, PLLC  
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Spokane, WA 99201

The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption.

1. Transmittal Letter with Certificate of Mailing included.
2. PTO Return Postcard Receipt
3. Petition for Extension of Time Under 37 C.F.R. 1.136 (a) (Priority Application)
4. Fee Transmittal
5. Check for \$1390.00 (Payment for Extension)
6. Request for Continuation Application Under 37 C.F.R. 1.53 (b)
7. True copy of the prior application as filed including the specification (52 pages, claims 1-55 & Abstract)

Large Entity Status [x]

Small Entity Status [ ]

Date: 12-29-2000

By: Steven Sponseller 39,384  
Michael A. Proksch  
Reg. No. 43,021

**CERTIFICATE OF MAILING**

I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as Express Mail, in an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the below-indicated date. Any Express Mail No. has also been marked on the listed items.

EL7 64086975

Date: 12/29/2000

By: Dana L. Calhoun  
Dana L. Calhoun

Parameter	Value	Standard Error	t-Statistic	p-Value
Intercept	1.0000	0.0000	1.0000	0.0000
Age	0.0000	0.0000	0.0000	0.0000
Gender	0.0000	0.0000	0.0000	0.0000
Marital Status	0.0000	0.0000	0.0000	0.0000
Education	0.0000	0.0000	0.0000	0.0000
Income	0.0000	0.0000	0.0000	0.0000
Health	0.0000	0.0000	0.0000	0.0000
Religion	0.0000	0.0000	0.0000	0.0000
Occupation	0.0000	0.0000	0.0000	0.0000
Region	0.0000	0.0000	0.0000	0.0000
Time	0.0000	0.0000	0.0000	0.0000
Constant	1.0000	0.0000	1.0000	0.0000